

## Curriculum Vitae Prof. Dr. M. Nelemans

August 2015



### Office address

Tilburg Law School  
Department of Criminal Law  
Montesquieu Building kamer 817 (8th floor)  
Warandelaan 2  
5037 AB Tilburg  
The Netherlands  
Phone: +31(0)134662383  
Email: matthijs.nelemans@tilburguniversity.edu

### Current position

- Jun 2014-present Professor of Financial Law Enforcement at Tilburg Law School, Department of Criminal Law
- Research focus: regulation of misconduct in financial and economic dealings and the enforcement of financial law
  - Teaching: financial-economic regulation and its regulatory and criminal enforcement

### Current ancillary activities

- Nov 2014-present Advisor with a focus on European and Dutch market abuse regulations and matters (since Apr 2015 through Fimmel BV)
- Jul 2005-present Annotator of Jurisprudence Business & Law (*JOR*), particularly in the area of market abuse
- Oct 2010-present Various guest lectureships, e.g. guest lecturer Free University, Amsterdam, course on financial-economic criminal law; guest lecturer University of Groningen, course on securities regulation; guest lecturer Academy for

applied law studies (*Academie voor toegepaste rechtswetenschappen*), course on securities law

### **Previous activities**

- Sep 2007-Nov 2014 Lawyer at De Brauw Blackstone Westbroek, Amsterdam, The Netherlands
- Practice areas: Litigation & Arbitration, Regulatory and Criminal Enforcement, Financial Markets Regulation, Market Abuse, Compliance
  - Work: Litigation in the area of financial law against national and international supervisory/regulatory authorities and national and international private parties; internal investigations into market abuse and fraud in several jurisdictions; advising on financial law (including market abuse regulations, transparency and notification obligations); advising on criminal law and compliance (anti-corruption laws, anti-money laundering legislation, economic sanctions, criminal (procedural) law and compliance measures)
- Jun 2003-Jun 2007 Ph.D. candidate at Tilburg Law School, Department of Criminal Law
- Dissertation: The Prohibition on Market Manipulation (supervisors: Prof. M.S. Groenhuijsen and Prof. F.G.H. Kristen)
  - Member of Tilburg Law and Economics Center (TILEC) (chairmen: Prof. P. Larouche and Prof. E.E.C. van Damme)
  - Third-party funding, June 2004: NWO travel grant, awarded; grant Dr. Hendrik Muller's National Fund, awarded; grant Fundatie van de Vrijvrouwe van Renswoude, awarded
- Feb 2007-Apr 2007 Visiting scholar at Columbia Law School (Worldwide Junior Corporate Scholar Forum)
- Sep 2004-Feb 2005 Visiting researcher at Harvard Law School (sponsor: Prof. Reinier H. Kraakman)
- Mar 2000-Jan 2003 Student assistant (research and education), University of Utrecht, Faculty of Economics, lecturer 'General Economics' course

### **Education**

- Sep 1998-Apr 2003 LL.M., Criminal (Procedural) Law, Utrecht University

- *Cum laude* (<2%)
- Thesis: "The European equivalent of Regulation Fair Disclosure"
- Exchange student at Boston College Law School

Sep 1997-May 2003 M.A. in Business Economics, University of Amsterdam

- Specialisation: Accounting & Control
- Thesis: "The auditor and the duty to report money laundering"

Sep 1995-Aug 1996 Propaedeutic degree, International Business, University of Maastricht

Sep 1989-Jun 1995 Gymnasium-B, College de Klop, Utrecht

#### **Awards**

Sep 2008 Dissertation Award, Prof. A.E.J. Modderman Foundation, Leiden University

May 2003 Honourable Mention, thesis award Willem Pompe Institute, Utrecht University

## **Publications**

Publications on SSRN.com:

[http://papers.ssrn.com/sol3/cf\\_dev/AbsByAuth.cfm?per\\_id=343420](http://papers.ssrn.com/sol3/cf_dev/AbsByAuth.cfm?per_id=343420)

Publications on Academia.edu

<http://tilburguniversity.academia.edu/MatthijsNelemans>

### ***International publications (US and EU)***

1. Matthijs Nelemans and Michael Schouten, 'Takeover Bids and Insider Trading', in S. Bainbridge (ed.), *Research Handbook on Insider Trading*, 2013
2. Matthijs Nelemans, Redefining Trade-Based Market Manipulation, 42 *Valparaiso University Law Review* 1169 (2008)
3. Matthijs Nelemans and Mathias Siems, The Reform of the EU Market Abuse Law; Revolution or Evolution?, 19 *Maastricht Journal of European and Comparative Law* (2012): 195-205

### ***Books (in Dutch)***

4. M. Nelemans, *Responsible Financial Regulation*, Tilburg: PrismaPrint 2015 [+/- 70 pages, forthcoming September 2015]
5. M. Nelemans, *The Prohibition on Market Manipulation* (dissertation Tilburg), Deventer: Kluwer 2007 [485 pages].
  - Book review in *Delikt and Delinkwent* by J.A.E. Vervaele, 2010, 12, p. 156-165
  - Book review in *Themis*, by I. Peçi, October 2009, 170-5, p. 226-229
  - Book review in *Journal of Dutch Corporate Law*, by R. Raas, 2008-13, p. 489-490

### ***Articles, book contributions and other publications (in Dutch)***

6. M. Nelemans and T. Bird, annotation on decision by the Rotterdam District Court, *Homburg* (re: dissemination of false information), 3 May 2013, *Jurisprudence Business & Law* 2013/273
7. M. Nelemans and J.L. Zeeman, annotation on decision by the Rotterdam District Court, *BRSB* (re: arbitration, rights issue and manipulation), 21 March 2012, *Jurisprudence Business & Law* 2012/1854
8. M. Nelemans and C. Bruil, annotation on decision by the Trade and Industry Appeal Tribunal, *IMC* (re: trading, stop loss order and manipulation), 22 February 2012, *Jurisprudence Business & Law* 2012/146

9. M. Nelemans, 'Market Abuse', in: F.G.H. Kristen et al (eds.), *Special Criminal Law: Criminal enforcement of socio-economic and fiscal law in the Netherlands (Pompe Series)*, The Hague: Boom Lemma Publishers 2011, p. 275-308
10. M. Nelemans and D. Živković, annotation on decision by the Court of Justice of the European Union, *IMC* (re: trading, stop loss order and manipulation), 7 July 2011 (C-445/09), *Jurisprudence Business & Law* 2011/262
11. M. Nelemans and D. Živković, annotation on decision by the Rotterdam District Court, *Qurius* (re: marking-the-close and manipulation) 16 December 2010, *Jurisprudence Business & Law* 2011/83
12. M. Nelemans, annotation on decision by the Trade and Industry Appeals Tribunal, *IMC* (re: trading, stop loss order and manipulation), 6 November 2009, *Jurisprudence Business & Law* 2010/18
13. M. Nelemans, annotation on decision by the Court of Justice of the European Union, *Spector* (re: buy-back programme and insider trading), 23 December 2009, *Jurisprudence Business & Law* 2010/70
14. M. Nelemans and V.S.Y. Liem, annotation on decision by the Amsterdam District Court, *VHS* (re: stabilisation and market abuse), 3 April 2009, *Jurisprudence Business & Law* 2009
15. M. Nelemans, annotation on decision by the Rotterdam District Court, *IMC* (re: trading, stop loss order and manipulation), 20 December 2007, *Jurisprudence Business & Law* 2008/46
16. M. Nelemans, annotation on decision by the Amsterdam District Court, *Versatel* (re: dissemination of false information), 16 August 2007, *Jurisprudence Business & Law* 2007/245
17. M. Nelemans, 'Market manipulation versus insider trading', *Delikt & Delinkwent* 2006, No. 5, p. 502-520
18. M. Nelemans, 'Comments on the proposed acceptance of 'liquidity reinforcing contracts', consultation AFM, 17 May 2006
19. M. Nelemans, annotation on decision by the Amsterdam Court of Appeal, *Cardio Control* (re: matched orders and misleading information), 12 July 2005, *Jurisprudence Business & Law* 2005/298

\*\*\*